







## Enhanced Turbulent Diapycnal Mixing in the Northern Sargasso Sea Inferred From a Finescale Parameterization

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### Key Points:

- Thermocline diapycnal mixing inferred from a finescale parameterization in the northern Sargasso Sea is enhanced from 1994 to 2019
- Enhanced thermocline diapycnal mixing in the northern Sargasso Sea is due to stronger internal waves excited by intensified winds

### Supporting Information:

Supporting Information may be found in the online version of this article.

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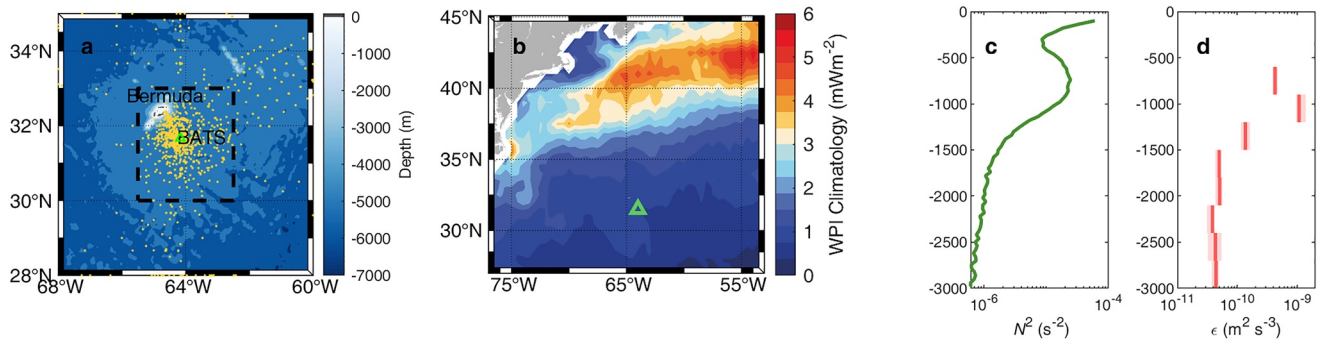
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**Abstract** The Sargasso Sea, accommodating marine species and mode water, is highly productive relative to other oligotrophic subtropical regions with intense air-sea carbon and heat exchanges. Turbulent diapycnal mixing regulates these processes and is generally thought to be weakened in a more stratified ocean under anthropogenic forcing. However, by applying a finescale parameterization to long-term hydrographic profiles collected during the Bermuda Atlantic Time-Series Study (BATS), we show that its intensity has become stronger from 1994 to 2019 in the permanent thermocline. The enhanced turbulent diapycnal mixing is mainly attributed to the increase of wind power on internal waves along the Gulf Stream extension. Numerical simulations suggest that stronger internal waves excited along the Gulf Stream extension radiate downward and equatorward, causing enhanced turbulent diapycnal mixing in the northern Sargasso Sea including the BATS station. The findings have important implications for understanding responses of mode water and ecosystem in the Sargasso Sea to anthropogenic forcing.

**Plain Language Summary** Turbulent mixing contributes to the vertical transport of heat, carbon and nutrients in the ocean, exerting significant effects on the ocean circulations and climate. It is thus important to know how turbulent mixing in the ocean will change under anthropogenic forcing. There is a prevailing thought that turbulent mixing should be weakened under anthropogenic forcing, as the enhanced stratification due to the faster warming in the upper than deeper ocean would hinder the processes generating turbulence. Here, using observation data sampled during the Bermuda Atlantic Time-Series Study (BATS), we find that the turbulent mixing in the permanent thermocline there has increased by 42% over the past three decades. This positive trend is due to stronger wind energy input on internal waves that are gravity waves propagating within the ocean interior rather than on its surface. As these waves radiate downward and equatorward, they transfer their energy into smaller-scale internal waves and eventually break, leading to enhanced turbulent mixing in the northern Sargasso Sea.

## 1. Introduction

Turbulent diapycnal mixing influences the vertical transport of heat, dissolved gases, nutrients, and pollutants, regulating primary production (A. Gargett & Marra, 2002) and affecting air-sea exchanges of heat and carbon (Gregory, 2000; Sarmiento & Toggweiler, 1984). These functions are particularly true for the Sargasso Sea. The Sargasso Sea is a region where the North Atlantic subtropical mode water (NASTMW) resides. The NASTMW plays a key role in the ocean uptake of atmospheric carbon dioxide (Takahashi et al., 2009) and nutrient cycling (Oka et al., 2015), as it affects climate variabilities through the re-emergence mechanism and many other ways (Hanawa & Sugimoto, 2004; Josey et al., 2018). Providing natural habitats for a variety of marine life (Laffoley et al., 2011), the Sargasso Sea is also an oasis known for its productivity and biodiversity. Both NASTMW and primary production in the Sargasso Sea are strongly regulated by turbulent diapycnal mixing in the upper ocean. Turbulent diapycnal mixing modulates the spread and thickness of NASTMW (Billheimer & Talley, 2013) while promoting air-sea exchanges of heat and carbon (Gregory, 2000; Sarmiento & Toggweiler, 1984). Furthermore, the nutrients pumped upward through turbulent diapycnal mixing fuel the primary production, further enhancing carbon sequestration (A. Gargett & Marra, 2002). Understanding responses of turbulent diapycnal mixing in the upper Sargasso Sea to anthropogenic forcing is of great importance for accurately predicting future climate and ecosystem changes. Yet such responses are still poorly assessed.



**Figure 1.** Bathymetrical and hydrographic features of the BATS station. (a) Bathymetry and geographic distribution of the profiles. Yellow points denote the locations of the hydrographic profiles collected from 1994 to 2019. The black dashed line encompasses the region within which the profiles are used in this study. (b) Climatological mean (1994–2018) wind power on near-inertial internal waves  $W_I$  in the Northwest Atlantic. (c) and (d) Vertical profiles of climatological mean squared buoyancy frequency  $N^2$  and turbulent kinetic energy dissipation rate  $\epsilon$  at the BATS station. The light shading in (d) is 95% confidence interval of the climatological mean  $\epsilon$  estimated from a bootstrap method. The green triangle denotes the location of the BATS station.

Highly relevant to and more predictable than the turbulent diapycnal mixing is ocean stratification. A more stratified upper ocean under anthropogenic forcing has been validated by observations (Li et al., 2020; Stevens et al., 2020) and climate model simulations (Fox-Kemper et al., 2021). As intensified stratification acts to inhibit the instability processes generating turbulence (Garrett & Holloway, 1984), an equivalence between intensified stratification and weakened turbulent diapycnal mixing in the upper ocean is widely assumed when studying the impacts of anthropogenic forcing on biological processes (Matear & Hirst, 2003; Moore et al., 2018) and climate (Marzocchi & Jansen, 2017; Oschlies et al., 2018).

However, stratification is not the only factor affecting turbulent diapycnal mixing. Away from the boundary layer, much of turbulent diapycnal mixing occurs through the breaking of internal waves powered primarily by winds and tides (Wunsch & Ferrari, 2004). Existing studies suggest that surface wind fields respond significantly to anthropogenic forcing (Young & Ribal, 2019). Such responses may affect the wind-generated internal waves, making the changes of turbulent diapycnal mixing under anthropogenic forcing more complicated than what should be inferred from the changing stratification alone. The long-term hydrographic profiles collected during the Bermuda Atlantic Time-Series Study (BATS) provide a unique opportunity to test this conjecture.

In this study, by applying a finescale parameterization (Polzin et al., 2014) to the BATS data, we construct the time series of turbulent kinetic energy dissipation rate  $\epsilon$  in the permanent thermocline over the past three decades and analyze its controlling factors. The paper is organized as follows. Section 2 details the data and methods. The long-term trend of  $\epsilon$  at the BATS station and its attribution to the increased wind power on near-inertial internal waves  $W_I$  along the Gulf Stream extension are presented in Section 3. The conclusions of this study are summarized in Section 4 followed by discussion.

## 2. Data and Methods

### 2.1. BATS CTD Data

The BATS program is an oceanographic study focusing on the long-term changes of hydrographic and biogeochemical properties of seawater. Since 1988, it has been sampling in the Northwest Atlantic nominally at  $31^{\circ}40'N$   $64^{\circ}10'W$  based on monthly research cruises. During each cruise, continuous profiles of temperature and salinity are collected where the downcast data are used for analysis. After standard processing and corrections, temperature and salinity data are bin-averaged to 2-dbar. By now, about 6,400 profiles have been collected. Here, we only use profiles collected within  $30^{\circ}$ – $33^{\circ}N$ ,  $65.5^{\circ}$ – $62.5^{\circ}W$  from 1994 to 2019 with a maximal sampling depth greater than 1,000 m, leaving about 3,500 available profiles (Figure 1a). The profiles before 1994 are discarded because a larger rosette was introduced in 1993. This change in the equipment causes an inconsistency in data processing before and after 1994 and may lead to an artificial trend when estimating turbulent diapycnal mixing. After 2019, the data become discontinuous in time, making it difficult to compute the trend.

## 2.2. Finescale Parameterization

Based on the idea that weakly nonlinear interactions within a well-developed sea of internal waves act to steadily transport energy from the large (vertical) scales at which they are generated, to the small scales where waves break due to shear or convective instabilities,  $\epsilon$  can be expressed in terms of the finescale strain as (Kunze et al., 2006):

$$\epsilon = \epsilon_0 \frac{\overline{N^2}}{N_0^2} \frac{\langle \xi_z^2 \rangle^2}{\text{GM} \langle \xi_z^2 \rangle^2} h_2(R_\omega) j\left(f/\overline{N}\right) \quad (1)$$

where  $\epsilon_0 = 6.7 \times 10^{-10} m^2 s^{-3}$ ,  $N_0 = 5.2 \times 10^{-3} rad \cdot s^{-1}$ ,  $N^2$  is the squared buoyancy frequency,  $\overline{N}$  is the vertical mean buoyancy frequency,  $\text{GM} \langle \xi_z^2 \rangle$  is the strain variance from the Garrett-Munk model spectrum (Gregg & Kunze, 1991), and  $\langle \xi_z^2 \rangle$  is the observed strain variance. The terms  $j\left(f/\overline{N}\right)$  and  $h_2(R_\omega)$  are defined as

$$j\left(f/\overline{N}\right) = \frac{f \operatorname{acosh}\left(\overline{N}/f\right)}{f_{30} \operatorname{acosh}\left(N_0/f_{30}\right)} \quad (2)$$

$$h_2(R_\omega) = \frac{1}{6\sqrt{2}} \frac{R_\omega(R_\omega + 1)}{\sqrt{R_\omega - 1}} \quad (3)$$

where  $f_{30} = f(30^\circ)$  and  $R_\omega$  represents shear/strain variance ratio (fixed at 3 following Whalen et al. (2015)).

All profiles are broken into 300-m segments to evaluate the strain spectra and then the segment-averaged  $\langle \xi_z^2 \rangle^2 / \text{GM} \langle \xi_z^2 \rangle^2$  and  $\epsilon$  (see Jing and Wu (2013) for detailed procedures). The strain spectra may be contaminated due to strong depth variability in the background stratification  $N^2$  (Kunze et al., 2006). Thus, the shallowest two segments, that is, 0–300 m and 300–600 m, are discarded due to the rapid variation of  $N^2$  with depth (Figure 1c).

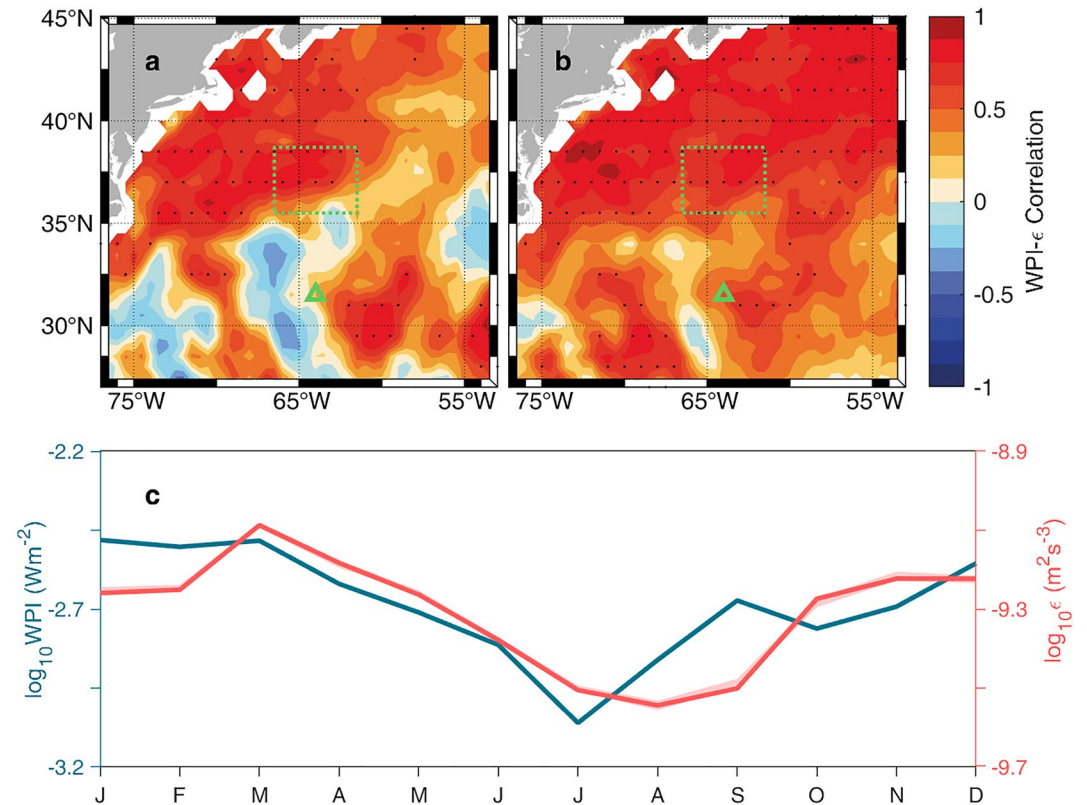
For the annual mean  $\epsilon$ , we first compute the monthly mean  $\epsilon$  that is further averaged to obtain the annual mean value. This avoids aliasing of the annual mean by the seasonal cycle as profiles in some years are not evenly distributed among different months. Following Whalen et al. (2015), both the monthly mean and annual mean are computed as the arithmetic mean. We note that the probability distribution of  $\epsilon$  estimated from individual hydrographic profiles is far from normal but resembles a positively skewed log-normal distribution (see Figure S1 in Supporting Information S1). In this case, the arithmetic mean may be more affected by some extremely large values than the geometric mean and median. Nevertheless, computing the annual mean in different ways leads to qualitatively consistent long-term trends of annual mean  $\epsilon$  during the past three decades (see Figure S2 in Supporting Information S1).

The confidence interval of annual mean  $\epsilon$  can be estimated from a bootstrap method with some preprocessing. First, we estimate a climatological mean seasonal cycle of  $\epsilon$  (denoted as  $\epsilon_c$ ; Figure 2c) and compute the anomaly of  $\epsilon$  for the individual profiles as  $\epsilon' = \epsilon - \epsilon_c$ . Then a bootstrap method is applied to  $\epsilon'$  during each year to estimate the confidence interval of the annual mean  $\epsilon'$ . Finally, this confidence interval is added to the time-mean value of  $\epsilon_c$  to get the confidence interval of annual mean  $\epsilon$ .

## 2.3. Wind Power on Near-Inertial Internal Waves

Wind blowing over the sea surface stimulates oceanic near-inertial oscillations in the surface mixed layer. Part of this energy input dissipates in the surface mixed layer, and the remaining radiates into the stratified ocean interior as near-inertial internal waves and plays an important role in powering the turbulent diapycnal mixing (Alford et al., 2016). Here, we use a slab ocean model (Pollard & Millard, 1970) to estimate  $W_i$ :

$$\frac{dZ}{dt} + (r + i \cdot f)Z = \frac{T}{H} \quad (4)$$



**Figure 2.** Seasonal cycles of wind power on near-inertial internal waves  $W_i$  and turbulent kinetic energy dissipation rate  $\epsilon$  in the permanent thermocline at the BATS station and correlation between the two. (a) The simultaneous correlation coefficient between seasonal cycles of  $\epsilon$  within 600–900 m at the BATS station and  $W_i$  at different locations. (b) Same as (a) but with  $W_i$  leading  $\epsilon$  by 1 month. Regions where the correlation is significant at 95% confidence level are marked by black dots. The dashed rectangular box (35.5–38.7°N, 61.5–66.5°W) shows the area over which the box-averaged  $W_i$  in Figure 3c is calculated. (c) Seasonal cycles of  $\epsilon$  within 600–900 m at the BATS station and 1-month-lead  $W_i$  averaged within 35.5–38.7°N, 66.5–61.5°W (dashed rectangular box). The light red shading in (c) is 95% confidence interval of the seasonal cycle of  $\epsilon$  from a bootstrap method.

where  $Z = u + i \cdot v$  is the mixed layer current with  $i = \sqrt{-1}$ ,  $H$  is the mixed-layer depth,  $T = (\tau_x + i \cdot \tau_y) / \rho$  is the density normalized surface wind stress, and  $r$  is the frequency-dependent damping parameter (Alford, 2003). The  $W_i$  can be directly computed using the expression:

$$W_i = Z \cdot T \quad (5)$$

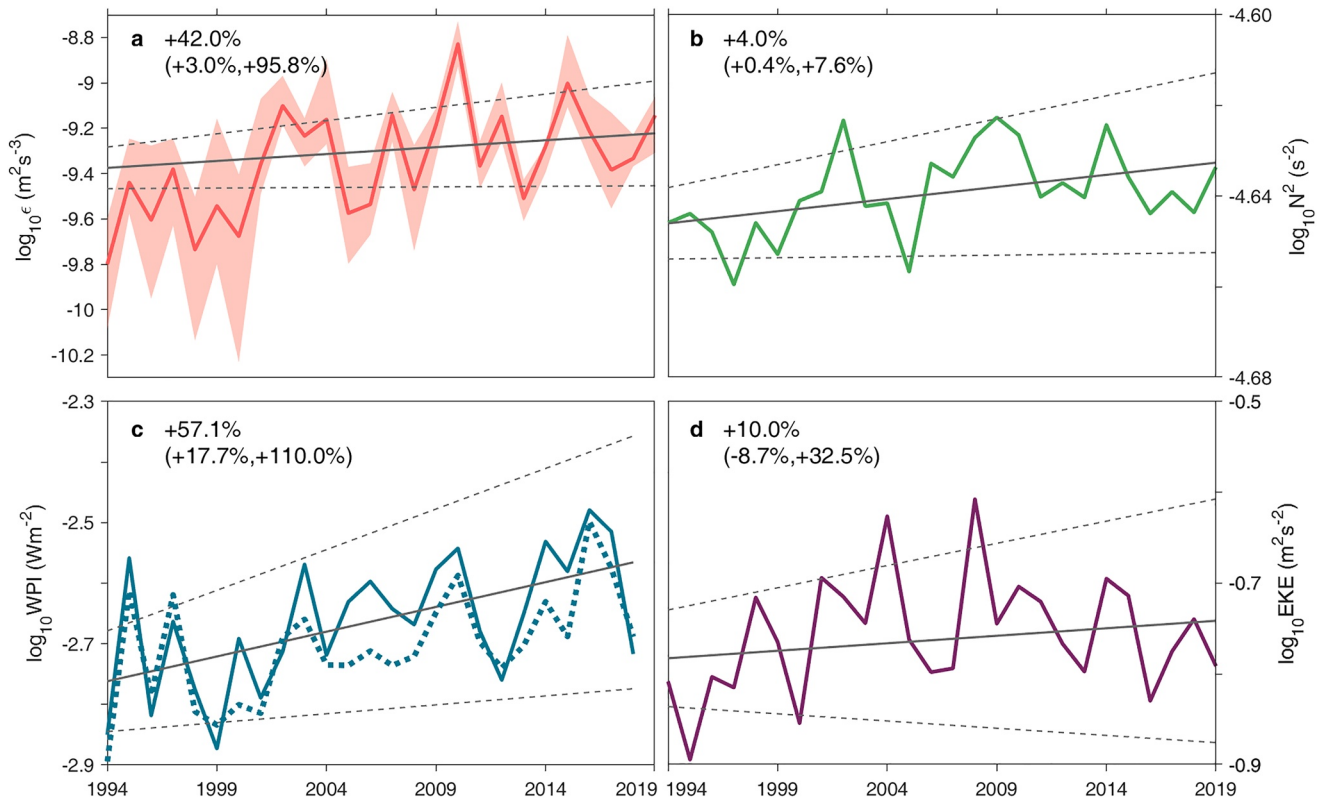
The surface wind stress is computed using the 6-hourly 10-m wind vector of ERA-Interim (Dee et al., 2011) spanning from 1979 to 2018. Two other wind data sets, that is, NCEP/NCAR (Kalnay et al., 1996) and Cross-Calibrated Multi-Platform (CCMP) Wind Vector Analysis Product (Atlas et al., 2011) are used for cross-validations.

The mixed layer depth (MLD) is defined as the depth where in situ density changes by 0.03 kg/m<sup>3</sup> compared to that of 10 m using the monthly mean temperature and salinity data from Forecast Ocean Assimilation Model (FOAM) (Blockley et al., 2014) spanning from 1993 to 2018. The monthly mean time series of MLD is used in Equation 4 for computing  $W_i$  trend during 1994–2018. As to trend of  $W_i$  during 1979–2018, the MLD is fixed as its climatological mean seasonal cycle during 1993–2019, because the MLD data are unavailable before 1993. We find that the variability of MLD on interannual and longer time scales does not impact the robustness of  $W_i$  trend (Figure 3c).

#### 2.4. Eddy Kinetic Energy (EKE)

EKE is defined as  $1/2(u_g'^2 + v_g'^2)$  where  $(u_g, v_g)$  are the surface geostrophic velocities and the prime denotes anomalies from the time mean (1994–2019). The values of  $(u_g, v_g)$  are derived from sea surface height provided by the SSALTO/Duacs delayed-time 0.25° gridded products (AVISO, 2019).





**Figure 3.** Enhanced turbulent kinetic energy dissipation rate  $\epsilon$  from 1994 to 2019 and its controlling factors. (a) Annual mean  $\epsilon$  within 600–900 m at the BATS station. The gray solid and dashed lines are the linear fit to the common logarithm of  $\epsilon$  and its 95% confidence interval, respectively. (b to d) Same as (a), but for the common logarithm of  $N^2$  at the BATS station,  $W_I$  and eddy kinetic energy averaged within 35.5–38.7°N, 66.5–61.5°W. The increase of the corresponding variables during 1994–2019 is denoted in the top-left corner with their 95% confidence intervals in brackets. The dotted thick line in (c) indicates  $W_I$  calculated using the climatological mean seasonal cycle of mixed layer depth. The light shading in (a) is the 95% confidence interval of the annual mean  $\epsilon$  from a bootstrap method.

### 2.5. Sea Surface Temperature (SST)

The daily 1/4° Optimum Interpolation Sea Surface Temperature (OISST) v2.1 (Banzon et al., 2020), is used to quantify the SST trend.

### 2.6. Computation of Long-Term Trend

In this study, the trend for a variable  $\phi$  is computed by performing a linear fit to  $\log_{10} \phi$ . The slope of such a defined trend quantifies the relative increase of  $\phi$ , facilitating comparisons of trends among different variables.

## 3. Results

### 3.1. Enhanced Turbulent Diapycnal Mixing

The BATS station centered around 31°40'N, 64°10'W (Figure 1a) has a hydrographic feature typically observed in the Sargasso Sea. The background stratification given by  $N^2$  exhibits a sharp seasonal thermocline near the surface and a smooth permanent thermocline centered around 800 m below which  $N^2$  decreases monotonically with depth (Figure 1c). The time-mean  $\epsilon$  below 600 m estimated from the finescale parameterization attenuates rapidly as the depth increases (Figure 1d). Its value in the permanent thermocline is on the order of  $O(10^{-9}) \text{ m}^2 \text{ s}^{-3}$ , representative of the typical values observed in the Sargasso Sea (Whalen et al., 2015).

The value of  $\epsilon$  in the permanent thermocline (600–900 m) at the BATS station exhibits pronounced variability on multiple time scales (Figures 2c and 3a). Consistent with previous studies (Jing & Wu, 2014; Whalen et al., 2012), there is a distinct seasonal cycle with much stronger  $\epsilon$  in winter than in summer (Figure 2c). In addition to this well-known seasonal cycle, the new finding here is a positive trend of the annual mean  $\epsilon$  ( $p$ -value  $< 0.05$ ) over the

**Table 1**  
*Influences of the Estimation Errors in  $\epsilon$  on Its Trend*

|                 | Mean value | 95% confidence interval |
|-----------------|------------|-------------------------|
| Estimated Trend | +43.0%     | (+29.9%, +99.7%)        |

*Note.* The confidence interval is evaluated based on 10,000 sensitivity simulations.

past three decades even though the stratification becomes slightly stronger (Figures 3a and 3b). From 1994 to 2019, the value of  $\epsilon$  increases by 42%.

The value of  $\epsilon$  estimated from the finescale parameterization is unavoidably subject to some uncertainties, which might affect the robustness of its trend. In the open ocean away from rough topography, previous observations revealed that the finescale parameterization can reproduce the value of  $\epsilon$  obtained from the microstructure measurements within a factor of 3 (Polzin et al., 1995; Whalen et al., 2015). To examine how errors in the finescale parameterization estimates influence the trend of  $\epsilon$ , sensitivity experiments

are performed by assuming the ratio of estimated  $\epsilon$  for an individual profile to its true value is randomly distributed between 1/3 and 3. The results suggest that the estimation errors do not degrade the robustness of the trend (Table 1). By assuming all the estimation errors are random errors, we tend to overestimate their influences on the trend of  $\epsilon$ , as systematic errors do not affect the trend. Therefore, we conclude that the turbulent diapycnal mixing in the permanent thermocline at the BATS station is significantly enhanced over the past three decades and such enhancement is opposite to what would be inferred from the change of stratification alone.

### 3.2. Increased Wind Power on Near-Inertial Internal Waves

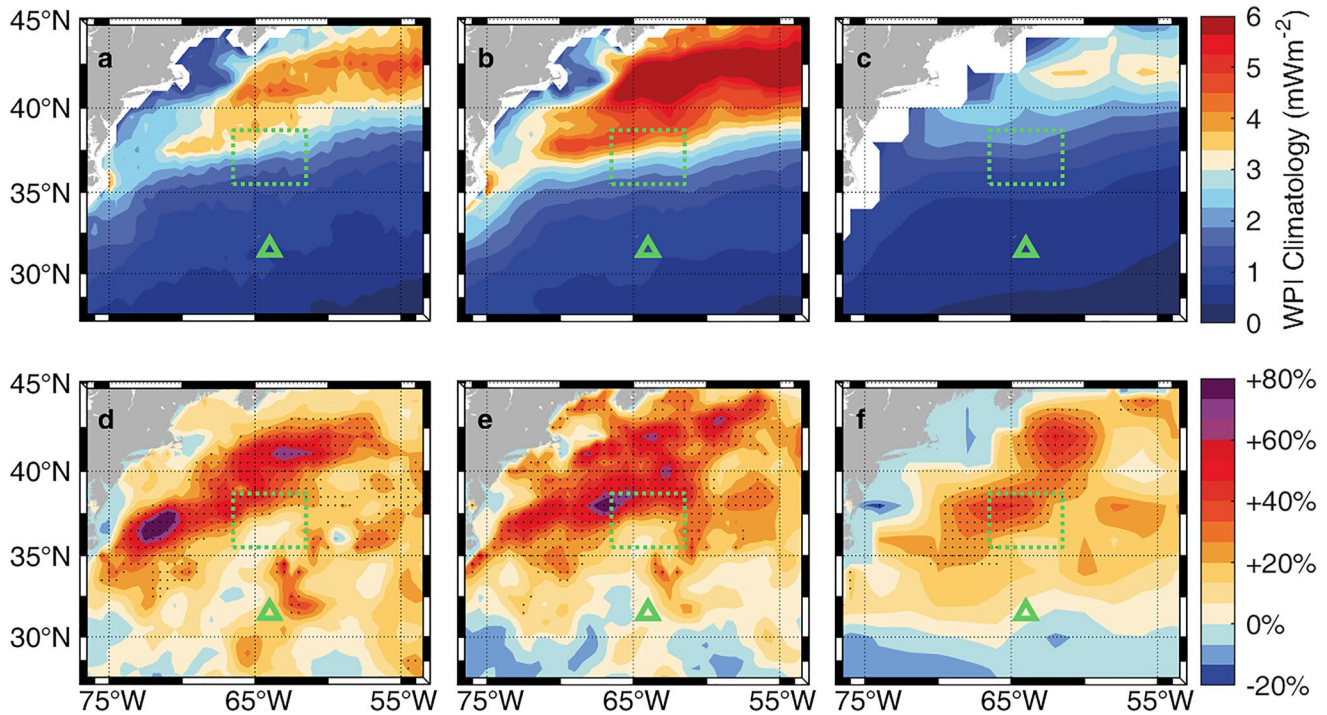
The enhanced thermocline turbulent diapycnal mixing at the BATS station might result from the intensified internal wave fields primarily composed of internal tides and NIWs. There is no synchronous data available for internal wave analysis during the BATS study. However, due to a lack of prominent topographic features within the Sargasso Sea (Figure 1), the conversion from barotropic tides to baroclinic tides is weak in this area (Green & Nycander, 2013; H. L. Simmons et al., 2004). Moreover, a more stratified upper ocean tends to reduce the conversion from barotropic tides to baroclinic tides (Vlasenko et al., 2005). Therefore, the baroclinic tides are unlikely to account for the enhanced thermocline turbulent diapycnal mixing on the long term.

The pronounced seasonal cycle of  $\epsilon$  at the BATS station suggests that the wind-generated NIWs play a crucial role in fueling the turbulent diapycnal mixing there, as the intensity of NIWs also exhibits similar seasonality (Alford et al., 2016; Jing & Wu, 2014; Liu et al., 2019). Indeed, there is a tight correlation between the seasonal cycles of  $\epsilon$  at the BATS station and  $W_I$  to the north when  $W_I$  leads  $\epsilon$  by 1 month (Figures 2a and 2b). The 1-month lead of  $W_I$  results from the slow downward radiation of NIWs whose vertical group velocity is usually tens of meters per day (Alford et al., 2012; Whalen et al., 2012). The tighter association of  $\epsilon$  at the BATS station with  $W_I$  to the north than local is consistent with the equatorward radiation of NIWs driven by the gradient of planetary vorticity (Garrett, 2001).

The intensity of wind-generated NIWs in the permanent thermocline depends on both the genesis, indicated using  $W_p$ , and the efficiency of downward radiation, which will be significantly promoted in the presence of mesoscale eddies (Kunze, 1985; W. R. Young & Jelloul, 1997; Yuan et al., 2022). Previous studies (Joyce et al., 2013; H. Simmons & Alford, 2012) based on mooring data confirm that NIWs in the Sargasso Sea originates from the north in the Gulf Stream extension. This is further supported by the lower correlation of the seasonal cycle of  $\epsilon$  to that of the 1-month-lead  $W_I$  locally than to the north (Figures 2a and 2b).

The meridional radiation distance for NIWs generated at the sea surface to reach the permanent thermocline can be estimated as:  $L = H c_{gy} / c_{gz}$ , where  $H = 800$  m is the central depth of permanent thermocline and  $c_{gy}$  ( $c_{gz}$ ) is the meridional (vertical) group velocity. Given large uncertainties in the initial values of  $c_{gy}$  and  $c_{gz}$  and significant wave refraction due to the varying stratification, planetary vorticity as well as background flow, accurate estimates of  $c_{gy}$  and  $c_{gz}$  are not available. Nevertheless, an existing literature (Morozov & Velarde, 2008) suggests that  $c_{gy} / c_{gz}$  in general ranges from 100 to 1,000. We use this large range as a rule of thumb to estimate possible generation regions for NIWs powering the turbulent diapycnal mixing in the permanent thermocline at the BATS station. This range of radiation (80–800 km) in combination with significant correlation between  $\epsilon$  and 1-month-lead  $W_I$  (Figure 2b) yields a box covering 35.5–38.7°N to the north of the BATS station.

The annual mean  $W_I$  and EKE averaged within box 35.5–38.7°N, 61.5–66.5°W (Figure 2b) are shown in Figures 3c and 3d. The trend of the box-averaged EKE is statistically insignificant, which undermines mesoscale eddies' contribution to the observed secular increase of  $\epsilon$ . We also conduct sensitivity experiments by altering the latitude range of the box for the EKE average. Neither the EKE average around the BATS station (30–33°N) nor



**Figure 4.** Climatological mean wind power on near-inertial internal waves  $W_i$  and its linear trend from different wind products. (a–c) Climatological mean  $W_i$  calculated using monthly mean MLD combined with 6-hourly 10-m wind vector from ERA-Interim (1994–2018,  $0.5^\circ$  by  $0.5^\circ$ ), CCMP (1994–2018,  $0.5^\circ$  by  $0.5^\circ$ ) and NCEP/NCAR (1994–2015,  $2.5^\circ$  by  $2.5^\circ$ ), respectively. (d to f) Linear trend of  $W_i$  based on the three data sets from (a–c), respectively. Unit here is percentage per decade. Trends significant at 95% confidence level are marked by black dots. The green triangle marks the location of the BATS station. The dashed rectangular box ( $35.5$ – $38.7^\circ$ N,  $61.5$ – $66.5^\circ$ W) shows the area over which the box-averaged  $W_i$  in Figure 3c is calculated.

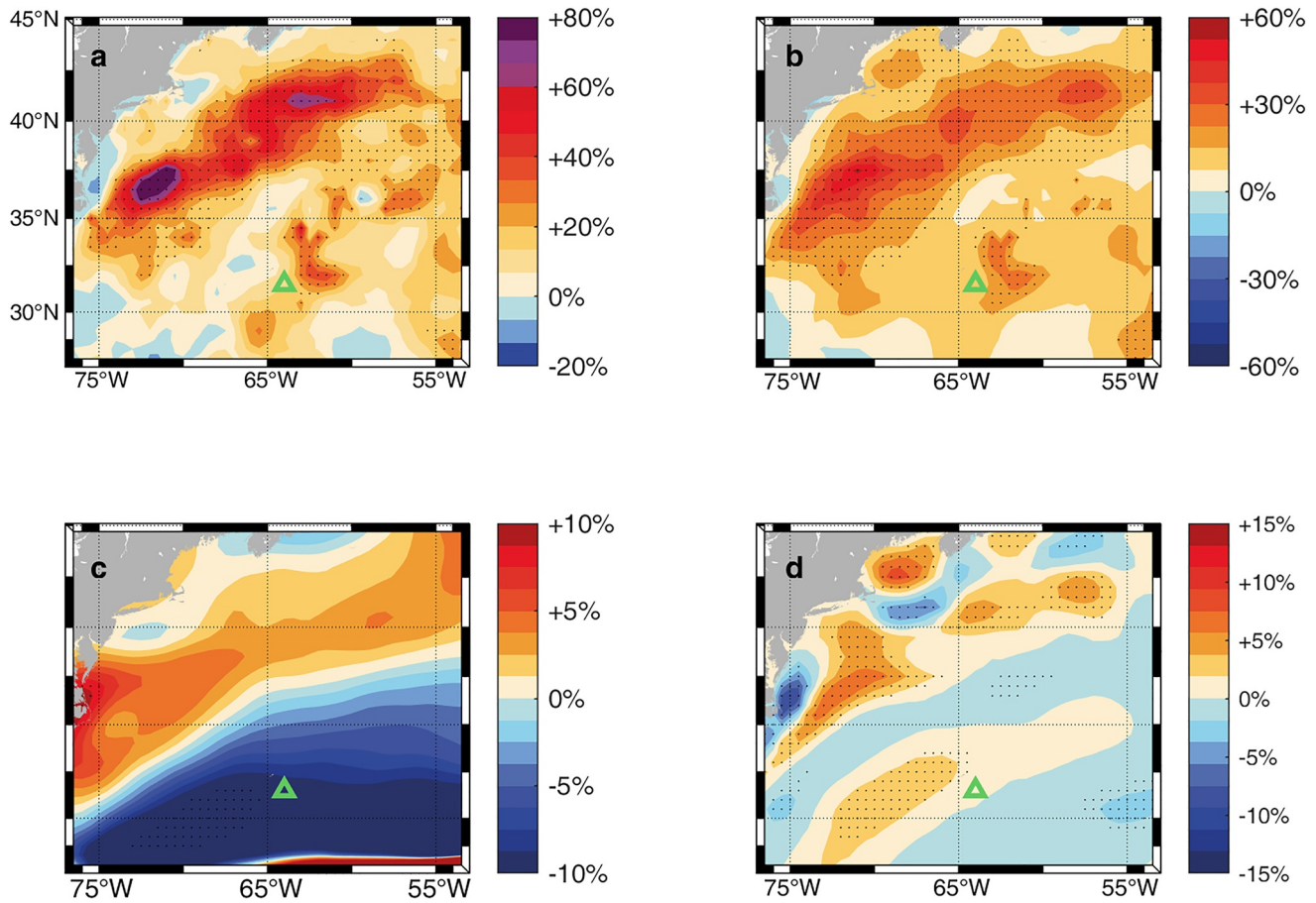
that to the north ( $30$ – $38.7^\circ$ N) have significant trends (not shown). On the contrary, the box-averaged  $W_i$  exhibits significant enhancement over the past three decades. It increases by  $57.1\%$  from 1994 to 2018, comparable to that of  $\epsilon$  at the BATS station. We remark that using different wind products does not influence the robustness of  $W_i$  trend calculated (Figures 4d–4f), although the climatological mean  $W_i$  differs substantially among the wind products (Figures 4a–4c) possibly due to the differences in their utilized wind measurements and data processing methods (Kent et al., 2013). This lends further support that the enhanced thermocline turbulent diapycnal mixing at the BATS station over the past three decades is mainly attributed to the increased  $W_i$ .

MLD and surface wind stress variance (WSV) are the two variables accountable for  $W_i$  (Alford, 2003). It is the long-term change of the latter that dominates the positive trend of box-averaged  $W_i$ , as using the climatological mean MLD instead of its monthly mean values to compute  $W_i$  has no substantial impact on its trend (Figure 3c). In fact, spatial patterns of  $W_i$  and surface WSV trends agree remarkably well with each other (Figures 5a and 5b). Both patterns exhibit pronounced enhancement along the Gulf Stream extension and such enhancement makes a dominant contribution to the trend of box-averaged  $W_i$ . Therefore, the secular increase of  $\epsilon$  in the permanent thermocline at the BATS station is mainly attributed to the stronger NIWs generated by the intensified sea-surface winds over the Gulf Stream extension.

### 3.3. Validation Based on Numerical Ocean Simulations

To further substantiate the enhancement of thermocline turbulent diapycnal mixing at the BATS station by the increased  $W_i$  along the Gulf Stream extension, two numerical simulations based on an eddy-resolving ocean model (Jamet et al., 2019) are conducted. The simulations use the Massachusetts Institute of Technology general circulation model (MITgcm) (Marshall et al., 1997) with a horizontal resolution of  $1/12^\circ$  and 46 vertical levels spacing from 6 m near the sea surface to 250 at depth. It covers the domain  $20^\circ$ S– $55^\circ$ N,  $98^\circ$ W– $14^\circ$ E, with the open boundary conditions prescribed every 5 days at the north and south boundaries and Strait of Gibraltar. The initial and boundary conditions are derived from a global NEMO simulation ORCA12.L46-MJM88 (Molines et al., 2014). The hourly atmospheric forcings are derived from DRAKKAR Forcing Set (DFS) 4.4





**Figure 5.** Trends of atmospheric variables during 1994–2018 in the Northwest Atlantic. (a–d) Trends of the common logarithm of  $W_1$ , surface wind stress variance (WSV), 850 hPa wind variance, and atmospheric boundary layer height (ABLH) from 1994 to 2018, respectively. Unit here is percentage per decade. Trends significant at 95% confidence level are marked by black dots. The green triangle marks the BATS station.

for 2-m air humidity, 10-m wind vectors, shortwave and longwave radiation and from DFS5.2 for precipitation (Brodeau et al., 2010; Molines et al., 2014) using a boundary layer model named Cheap Atmospheric Mixed Layer (CheapAML; Deremble et al., 2013). The model is initialized in 1958 and run continuously until 2012.

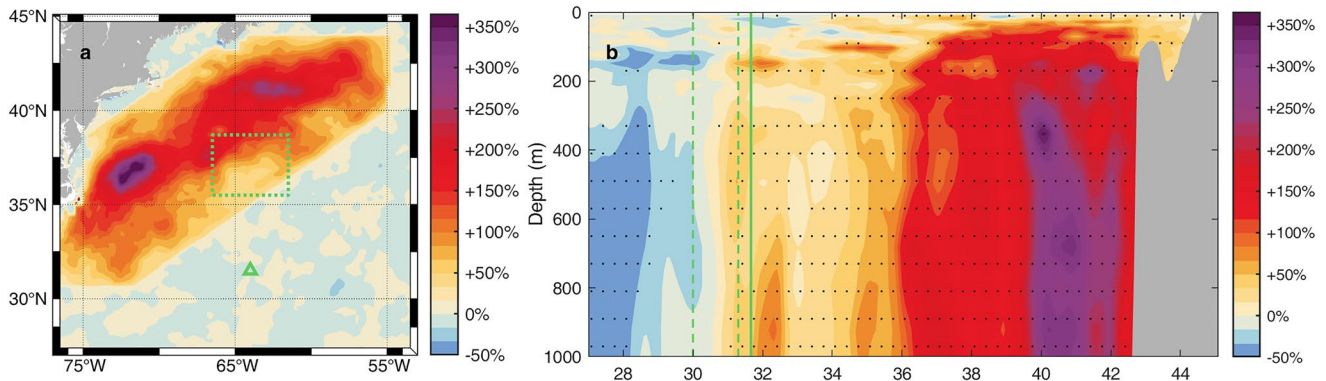
The first simulation (denoted as the CTRL simulation) uses the present-day wind forcing. Although the model simulates the ocean circulations and stratification in the North Atlantic reasonably well (Jamet et al., 2019, 2020), it underestimates  $W_1$  along the Gulf Stream extension (Figure S4a in Supporting Information S1; Liu et al., 2019). To remedy this issue, the CTRL simulation is restarted from 31 December 2001 and run until 31 December 2006 with the surface wind forcing replaced by the ERA-Interim. The  $W_1$  in the CTRL simulation is stronger and shows better agreement with the observations (Figure S4b in Supporting Information S1; Laffoley et al., 2011).

The wind-amplification simulation (denoted as the WAMP simulation) is the same as the CTRL simulation except for the surface winds. In the WAMP simulation, the wind in the near-inertial band ( $0.75\text{--}1.25 f$ ) is amplified along the Gulf Stream extension by a factor  $A_{WAMP}$  from 2002 to 2006 (Figure 6a). To mimic the observed response of  $W_1$  to anthropogenic forcing, the value of  $A_{WAMP}$  is set as unity everywhere except in the Gulf Stream extension where it is computed as

$$A_{WAMP} = \sqrt{1.5 \times \beta_{W_1} \Delta t} \quad (6)$$

where  $\beta_{W_1}$  is the trend of  $W_1$  derived from the ERA-Interim (Figure 5a) and  $\Delta t$  is set as 24 years. The symbol of square root arises because  $W_1$  is approximately proportional to the near-inertial wind stress variance according to the slab ocean model (Pollard & Millard, 1970). The constant 1.5 is introduced in Equation 6 to enhance the signal-to-noise ratio so that robust statistics for the difference between the WAMP and CTRL





**Figure 6.** Oceanic response to the enhanced  $W_I$  along the Gulf Stream extension simulated by an eddy-resolving ocean numerical model. (a) Difference of the time-mean  $W_I$  between the WAMP and CTRL simulations (WAMP-CTRL) normalized by the time-mean  $W_I$  in the CTRL simulation. The green triangle marks the BATS station. The dashed rectangular box shows the area over which the box-averaged  $W_I$  is calculated. (b) Same as (a) but for the time-mean vertical shear variance of near-inertial internal waves  $S_I^2$  zonally averaged within 66.5–61.5°W. Differences significant at 95% confidence level are marked by black dots. The green solid line marks the location of the BATS station. The southern vicinity (30–31.3° N) of the BATS station is bounded by the two green dashed lines.

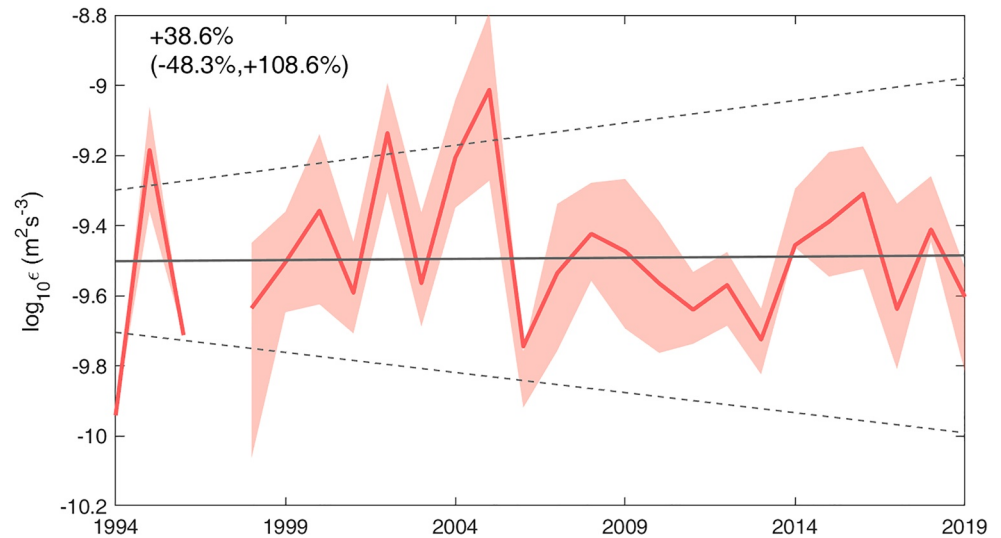
simulations can be obtained without running the model for a too long period. It should be noted that the comparison between the WAMP and CTRL simulations is aimed to examine whether the enhanced  $W_I$  over the Gulf Stream extension is able to qualitatively intensify the NIWs in the permanent thermocline at the BATS station rather than to derive a quantitative relationship between changes of  $W_I$  and turbulent diapycnal mixing.

Figure 6b displays the difference of vertical shear variance of NIWs (denoted as  $S_I^2$ ) zonally averaged within 66.5–61.5°W between the CTRL and WAMP simulations. The  $S_I^2$ , a proxy for the turbulent diapycnal mixing furnished by NIWs, is stronger in the WAMP simulation as a result of increased  $W_I$ . The enhanced  $S_I^2$  does not only occur in the surface Gulf Stream extension but also extends equatorward and downward to the permanent thermocline of the BATS station. This provides further evidence that the increased  $W_I$  along the Gulf Stream extension could intensify the thermocline turbulent diapycnal mixing at the BATS station via the radiation of NIWs.

It should be noted that the hydrographic profiles analyzed in this study were not exactly collected at the BATS station but in its vicinity within 30–33°N, 65.5–62.5°W (Figure 1a). The ocean simulations suggest that the enhanced  $S_I^2$  by increased  $W_I$  along the Gulf Stream extension is more evident to the north of the BATS station, whereas the change of  $S_I^2$  to the south of the BATS station becomes insignificant (Figure 6b). We recompute the time series of  $\epsilon$  based on the profiles collected in the southern vicinity (30–31.3°N) of the BATS station. Consistent with the results of ocean simulations, the trend of recomputed  $\epsilon$  becomes insignificant (Figure 7). This consistency between the observation and numerical simulations suggests that the increased  $W_I$  along the Gulf Stream extension should only enhance the turbulent diapycnal mixing in the northern Sargasso Sea, that is, nearby and to the north of the BATS station.

#### 4. Conclusions and Discussion

By applying a finescale parameterization to monthly CTD profiles from the BATS study, we report a significant long-term increase of  $\epsilon$  in the permanent thermocline by 42% from 1994 to 2019 despite the intensified stratification. Further analysis in combination with numerical ocean simulations narrows down the origin of this increase to be the intensified NIWs stimulated by stronger winds over the Gulf Stream extension. The three-decade records of turbulent diapycnal mixing make it difficult to disentangle the anthropogenic effects from natural variabilities on similar time scales, but longer time series (1979–2018) of atmosphere reanalysis products are available and can be used to infer the response of turbulent diapycnal mixing to anthropogenic forcing. It is found that the spatial patterns of surface WSV and  $W_I$  trends along the Gulf Stream extension during 1979–2018 are similar to those during 1994–2018 (Figure S3 in Supporting Information S1). This lends support that observed trends of  $\epsilon$  and  $W_I$  are likely to be the response to anthropogenic forcing.



**Figure 7.** Annual mean  $\epsilon$  within 600–900 m estimated based on the hydrographic profiles collected in the southern vicinity (30–31.3°N) of the BATS station. The gray solid line is the linear fit to the common logarithm of  $\epsilon$  with the gray dashed lines indicating its 95% confidence interval. The increase of  $\epsilon$  in the southern vicinity during 1994–2019 is denoted in the top-left corner with the 95% confidence intervals in the brackets. The light shading represents 95% confidence interval of annual mean values from a bootstrap method.

So far, the mechanisms for the enhanced surface WSV in the Gulf Stream extension remain unclear. The wind variance in the free atmosphere (i.e., at 850 hPa) does not show a similar trend pattern as the surface WSV (Figure 5c), suggesting that the positive trend of surface WSV along the Gulf Stream extension cannot be ascribed to the secular change of atmosphere circulations or synoptic variabilities. Instead, the frontal-scale air-sea interactions seem to provide a more plausible explanation of the enhanced surface WSV (Small et al., 2008; Wallace et al., 1989). It has been well recognized that there is accelerated sea surface warming in the western boundary current extensions under anthropogenic forcing (Wu et al., 2012). This rapid sea surface warming may destabilize the overlying atmosphere and enhance the downward momentum transfer through the vertical mixing mechanism (Small et al., 2008). Indeed, the atmospheric boundary layer height (ABLH) along the Gulf Stream extension rises by more than 20% over 1994–2018 (Figure 5d), lending support to this argument. However, the role of frontal-scale air-sea interaction in enhancing the surface WSV along the Gulf Stream extension should be treated as suggestive rather than definitive.

Findings in this study suggest that the change of turbulent diapycnal mixing under anthropogenic forcing is complicated and can be different from what is inferred from the change of stratification alone. Long-term hydrographic measurements covering the global ocean are thus essential for a comprehensive knowledge of how turbulent diapycnal mixing responds to anthropogenic forcing. This is beyond what shipboard or mooring measurements have to offer. Profiling floats like Argos may provide a promising solution to this issue due to their relatively low costs and long sustainability. Finally, there is a caveat that the long-term trend of  $\epsilon$  in this study is not estimated from microstructure measurements but inferred from a finescale parameterization. Although a wide usage of microstructure measurements is far from being achievable due to their high cost, they are necessary for validating and refining the estimates from finescale parameterizations.

### Data Availability Statement

All data used in this manuscript are publicly available. The CTD data (Bates & Johnson, 2023) are provided by the Bermuda Institute of Ocean Sciences and available via <https://www.bco-dmo.org/dataset/3918>. The ERA-Interim data (Dee et al., 2011) are available via <https://apps.ecmwf.int/archive-catalogue/?type=an&class=ei&stream=oper&expver=1>. The NCEP/NCAR data (Kalnay et al., 1996) via <https://psl.noaa.gov/data/gridded/data.ncep.reanalysis.html>. The CCMP data (Atlas et al., 2011) via <https://data.remss.com/ccmp/v02.0/>. The AVISO data (AVISO, 2019) via [https://data.marine.copernicus.eu/product/SEALEVEL\\_GLO\\_PHY\\_L4\\_MY\\_008\\_047/services](https://data.marine.copernicus.eu/product/SEALEVEL_GLO_PHY_L4_MY_008_047/services). The OISST data (Banzon et al., 2020) via <https://psl.noaa.gov/data/gridded/data.noaa>.

oisst.v2.html. The FOAM data (Blockley et al., 2014) via [https://data.marine.copernicus.eu/product/GLOBAL\\_REANALYSIS\\_PHY\\_001\\_031/description](https://data.marine.copernicus.eu/product/GLOBAL_REANALYSIS_PHY_001_031/description). The ChaOcean MITgcm code used and data files for re-run can be found at <https://github.com/quentinjamet/chaoccean>. The MatlabR2021b is used for plotting, the software can be downloaded via [https://ww2.mathworks.cn/products/new\\_products/release2021b.html](https://ww2.mathworks.cn/products/new_products/release2021b.html).

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